

ANNEX D – ALL SUPPLIERS

Plan International Supplier Questionnaire

Thank you for your interest in this tender. As part of a due diligence process, we require all applicants to complete this form. As a child centred organisation Plan International promotes the rights of children and girls around the world and wishes to ensure that all companies we work with strive for the same or similar values to that which we do. Our Values outline how we should work to secure the change we want to see in the world and to achieve our [purpose](#).

We strive for a just world that advances children's rights and equality for girls, and we motivate our people and partners to:

- empower children, young people and communities to make vital changes that tackle the root causes of discrimination against girls, exclusion and vulnerability
- drive change in practice and policy at local, national and global levels through our reach, experience and knowledge of the realities children face
- work with children and communities to prepare for and respond to crises, and to overcome adversity
- support the safe and successful progression of children from birth to adulthood

The information collected via this form allows Plan International to review your company's background, ethical standards and practices. We review the information provided to assess your company's capacity, both staffing levels and financial standing, and to ensure there is no conflicts of interest. In addition to this we use the form to confirm company registration status and certifications and to ensure a geographical/ physical presence exists to enable us to comply with all local laws. The information in this form will remain confidential and will only be used during the tendering process.

If you have any questions or queries about the form, please contact procurement@plan-international.org

Please note that if your company, parent company or subsidiary has any involvement in the any of the following industries or practices you will be automatically disqualified from this process.

- **Manufacture, supply or distribution of Alcohol**
- **Manufacture, supply or distribution of Tobacco**
- **Manufacture, supply or distribution of Armaments**
- **Production, distribution and/or marketing of Pornography**

Please return the completed form as part of your bid.

Name	Department	Email Address

Part A: Your Company Information

A1. Please provide the name of your business and any parent or subsidiary companies

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A2. Please provide all addresses of your registered businesses and any parent or subsidiary companies

Registered Office	Ordering Address (if different)	Payment Address (if different)

A3. Please provide your telephone number, web site address and central email address (if applicable)

Phone	Web Site
Email	

A4. Complete the following information for your various operational locations as follows below

Office Location	Functions carried out at this location	Number of staff

A5. Please state the nature of your business and your main products / services

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A6. Please specify the product(s) or service(s) you are proposing to supply to Plan International

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A7. Please provide your company registration number, number of years in business and VAT number (or equivalent):

Company Reg. No	Years in Business	VAT Number
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A8. What is the legal status of your business?

Public Limited Company

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Partnership

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Not for profit organisation

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Sole Trader

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Private Company

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Government Agency

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Self Employed

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Other

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A9. In which country/countries are you registered and paying company taxes?

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A10. Please provide of your tax status, audit findings and management actions from the past 2 years

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A11. Financial Year Dates

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Please provide financial information on the following (**please provide copy of your company's last three years audited accounts**):

Company turnover and currency	
Net Income	
Net Income Growth	
Turnover of the part of the business that would supply Plan International	

Plan International business as a % of total business (current or projected)

Part B: Your Workforce

B1. How many people in total does the company employ?

B2. % of female employees / % of male employees

B2.2 Is your organisation a Gender Responsive Enterprise? Yes/ No

If yes, please provide details and include any robust gender equality initiatives in place e.g. Women Empowerment Principles signed (<https://www.weeps.org/>), gender equality policy and other programmes implemented

Plan International defines Gender- Responsive Enterprise as one that meets criteria for integrating gender equality and women's empowerment principles in its policies and practices that are in alignment with international norms and standards. This could be:

- a) A Women-Owned Business: A legal entity in any field that is more than 51% owned, managed and controlled by one or more women.
- b) A Women-led business: A legal entity in any field that has a minimum of 50% women representation in management with senior-level, strategic decision-making capabilities.
- c) A Gender-responsive business: Legal entity that actively promotes gender equality and empowerment of women and young women through their policies and labour practices.

B3. Provide your staff turnover per annum for the last 3 years

B4. Does your company have any of the following policies or statements? Please provide copies:

Harassment in the workplace /
Grievance / Bullying

Social Objectives

Training and Development

Health & Safety

Employee Conflict of Interest

Diversity

Environmental

Equal Opportunities

B5. What % of your workforce are on the minimum wage?

B6. Are you a living wage employer?

Yes / No

B7. If not, do you have plans to become a Living Wage Employee in the next 12 months?

Yes / No

B8. What % of your workforce are on the Living Wage?

B9. What are the normal weekly working hours for employees?

B10. Is overtime voluntary?

Yes

Sometimes

No

Not applicable i.e. no overtime worked

B11. Is it paid at a premium rate?

Yes

Time off in lieu given

No

Depends on employee

B12. What is the youngest age at which someone can be employed by the company?

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B13. How do you ensure employees are aware of their rights?

Written contracts

Staff notice boards

Email notifications

Inductions

Employee handbook

Other (please specify)

Part C: Your Company Operating Standards

C1. Does the company have any recognised Operational Standards for products supplied to Plan International

Quality e.g. ISO9000	Environment e.g. ISO14001	Labour e.g. SA8000	Training e.g. Investors in People

Certified to :				
Working towards:				
Other standards:				

C2. Is there anyone designated as being responsible for Health and Safety issues for your company? Yes / No

Please provide details below;

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C3. Did you carry out any Health & Safety risk assessments last year? Yes / No

Please provide details of the last risk assessment and key findings /recommendations actioned below;

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C4. Has the company had a labour standards audit carried out? Yes / No

Please provide details of the results of the last audit and key findings / recommendations requiring action below;

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C5. Plan International has a stringent ethical supplier engagement policy, and therefore is sensitive to any possible links to high risk commodities and practices. Please provide evidence as to how your company meets standards regarding child labour, armaments, anti-bribery and corruption and anti-slavery? Please provide information below;

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Part D: Your Supply Chain

D1. How do you assess suppliers? Please provide more details in space provided

Questionnaires

Internal Audits

Other

Visits

3rd Party Audits

D2. Please provide details of frequency and nature of assessments below:

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D3. If you supply goods to Plan International, please list the main components you purchase / subcontract / outsource and the country of manufacture. If possible please attach a map showing evidence of supply chain traceability and assurance.

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D4. Please read the following carefully.

Please select if your company, any parent or subsidiary, for these goods / services has any involvement in the any of the following industries or practices

Industry or practice	Yes	No	% of net income this activity represents?
Manufacture, supply and distribution of tobacco			
Manufacture, supply and distribution of alcohol			
Manufacture, marketing and distribution of armaments			
Production, distribution and/or marketing of pornography			
Mining and production of non-renewable energy (e.g. oil, gas, coal)			
Commercial Gambling			
Genetically Modified Organisms (GMOs) and chemical pesticide manufacturers			

D5. Please describe or provide evidence of the processes you follow in your supply chain to assure against these risk areas:

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D6. How do you ensure compliance with the Modern Slavery Act?

D7. How do you screen your suppliers against modern slavery on their supply chain, e.g. trafficking, slavery, forced labour and child labour?

D8. Do you have your own Code of Conduct for your supply chain?

Part E : Continuous Improvement

E1. What actions are currently taking place or planned in the next year relating to any of the areas mentioned in this questionnaire.

a) In your company	Progress
	Plan
b) In your sourcing from suppliers	Progress
	Plan

E2. Declaration (to be completed by senior manager responsible for governance and ethics. Please insert electronic signature or type name).

I confirm that all the information given is accurate. For and on behalf of the supplier:

Signature	Name
Position	Date

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Supplier checks carried out within last 12 months?						Yes / No
Anti-Terrorist Check carried out?						Yes / No
Accounts Reviewed?						Yes / No
Third Party Supplier notes included?						Yes / No
Companies House Check (UK registered companies)?						Yes / No
Expected annual spend	Under 5k		£5k-£25k		Over £25k	
Questionnaire Section	Risk Flags	Notes				
A						
B						
C						
D						

Rated By:	Name		Date	
Notes/Comments/Follow Up/Concerns				